

# CIRCULAR

Circular No.	20241014-3	Circular Date	20241014
Category	Membership	Segment	ALL
Subject	Submission of half Yearly Cyber Security and Cyber Resilience Audit Report for the period ended September 30, 2024		
Attachments	• <a href="#">Annexures</a>		

**Circular Ref. No. 20241014-3**

**October 14, 2024**

**Subject: Submission of half Yearly Cyber Security and Cyber Resilience Audit Report for the period ended September 30, 2024**

Member's attention is drawn to SEBI circular no. SEBI/HO/MIRSD/CIR/PB/2018/147 dated December 03, 2018, SEBI/HO/MIRSD/DOP/CIR/P/2019/109 dated October 15, 2019, in relation to Cyber Security & Cyber Resilience framework for Stockbrokers / Depository Participants.

Reference is further drawn to the para 5 of the said SEBI circular dated October 15, 2019, wherein periodicity of audit for the purpose of compliance with Cyber Security and Cyber Resilience is defined. Accordingly, members are required to carry-out Cyber Security & Cyber Resilience Audit for the period ended September 30, 2024, as per the applicability criteria given below.

<b>Type of stockbroker as specified in SEBI circular CIR/MRD/DMS/34/2013 dated November 06, 2013</b>	<b>Periodicity</b>
Type I	Annual
Type II	Annual
Type III	Half-yearly

Timelines for submission of Cyber Security & Cyber Resilience Audit Report for the period ended September 30, 2024, is given below:

<b>S.No.</b>	<b>Particulars</b>	<b>Due Date for submission to the Exchange</b>
1	Preliminary Audit report	November 30, 2024
2	Corrective Action Report	February 28, 2025
3	Follow on Report	May 31, 2025

Members may note that the above-mentioned reports are required to be submitted **only in electronic form** through IIEFS (INX Electronic Filing System)

**The actions for late/non-submission of the Cyber Security & Cyber Resilience Audit Report shall be applicable as per the Exchange notice no. 20200507 – 02 dated May 07, 2020.**

Submission of Cyber Security & Cyber Resilience Audit report shall be considered complete only if the trading member submits the report to the Exchange and receives an acknowledgment email. Saved reports/reports submitted by auditor will not be considered as final submission. Members are requested to refer to the following annexures applicable for the Cyber Security & Cyber Resilience Audit

- i. Executive Summary Report – Annexure I
- ii. Action Taken Report, if applicable – Annexure II
- iii. Follow-on report with management comments, if applicable – Annexure III
- iv. Auditor Selection Process – Annexure IV
- v. Audit Process – Annexure V
- vi. Cyber Security and Cyber Resilience Audit Report format – Annexure VI

Members are requested to take note of the above and ensure compliance.

In case of any queries/clarifications, you may contact us on the below no

Sr. No.	Name of the Official	Tel No.	Email
1	Membership	079-61993151	<a href="mailto:inxmembership.ops@indiainx.com">inxmembership.ops@indiainx.com</a>

**For and on behalf of India International Exchange (IFSC) Ltd.**

Jay Soni  
Manager - Regulatory